# SOP- Fund Release to Full Time PhD candidates and Institutions

S. No.	Components	SoP to Candie	b be followed- for reference of the Institutions, Philates
1.	Monthly	I.	The institute based on administrative approval for PhD se
	Fellowship for Full		allotment would enrol the PhD Candidates on these sea
	Time PhD (@Rs.		following the guidelines of the scheme.
	38750 for 1st&	II.	The PhD Candidates would need to complete their profile
	2ndYear then Rs.		themselves on PhD scheme portal with due verification b
	43750 for 3rd, 4th		the concerned department & nodal officer of the institut
	and 5th year)		The institute will forward the candidate's detail along wi
			recommendations for their fellowship release. This wou
			be followed by verification by PhD Cell, DIC with respe
			to compliance to the guidelines of the scheme.
		III.	The details collected through PhD Scheme portal from the
			eligible PhD Candidates which include their AADHAA
			would be sent by PhD Cell, DIC to PFMS in prescribe
			format for beneficiary registration and subsequently for
			fellowship transfer through DBT mode.
		IV.	The institute would submit annual performance report for
			each candidate. In case of non-performance, nor
			compliance with the scheme's guidelines of any candida
			at any point of time, the institution shall intimate PhD Ce
			DIC regarding the same immediately through email to Ph
	×		Cell. The institution needs to secure receipts of suc
			intimation by PhD Cell, DIC well in advance as the
			fellowship would be released by DIC, as soon as possibl
			once the fellowship becomes due.
		V.	In absence of such intimation, PhD Cell, DIC will continu
			to transfer the fellowship directly to the candidates even
			month of a financial year.
		VI.	If due to non-intimation or late intimation by the respectiv
			institute, the fellowship is released to the candidate by Ph
			Cell, DIC then it will be the responsibility of the institute
			recover that amount paid to the candidate in excess
			beyond eligibility. PhD Cell, DIC in its sole discretion ma
			decide to recover it from the amount due to be paid to the
			institute under other budget heads of the scheme.
2	Reimbursement of	I.	During verification of candidate's registration by th
	Rent (as per GoI		concerned department & nodal officer of the institute alor
	norms)		with their recommendation for Reimbursement of Re
			release to PhD Candidates, the institute will recommend the
			release of amount towards "Reimbursement of Rent" als
			for the candidate. The institute would collect the supportin
			from the candidate & follow all guidelines of the schen
			and that of "Government of India" for such claims. Ph
			Cell, DIC will keep paying the "Reimbursement of Ren
	1 are served		along with fellowship to the eligible candidates eve
	- A Barren and and a		month.
		II.	In the event of any change e.g. change in location by the
			Candidate leading to change in monthly rent amount or i
			eligibility, the institution shall intimate PhD Cell, DI
			St unmalkan 23

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		111.	regarding the same through email. The institution needs to secure receipts of such intimation by PhD Cell, DIC well in advance as the Reimbursement of Rent would be released by DIC, as soon as possible once Reimbursement of Rent becomes due. In absence of such intimation, PhD Cell, DIC will continue to transfer the "Reimbursement of Rent directly to the candidates every month of a financial year. If due to non-intimation or late intimation by the respective institute, the "Reimbursement of Rent" is released to the candidate by PhD Cell, DIC then it will be the responsibility of the institute to recover that amount paid to the candidat in excess & beyond eligibility. PhD Cell, DIC in its sol- discretion may decide to recover it from the amount due to be paid to the institute under other budget heads of the scheme.
3	Research	I.	The institution would submit a proposal based on the
	Contingency grant @ Rs. 1.20 Lakh/Year/Full		eligibility of the full time PhD candidate(s) in prescribed format. The format would include the details of equipmen required by them, payment terms, account details of the
	Time PhD	II.	institute etc. The institute would be registered by PhD Cell, DIC of PFMS (if not registered) using the details collected throug PhD Scheme portal.
		III.	The proposal would then be examined by PhD Cell, DI with respect to the guidelines of the scheme & then the institute to procure the equipment as mentioned in the
		IV.	proposal with certain changes if applicable. The institution following the same would procure th equipment and would raise the payment request as pe
	· · · · · · · · · · · · · · · · · · ·	V.	payment terms at PhD Scheme portal. PhD Cell, DIC would examine the request and if it is i order then the amount would be paid to the account of
		1	Institution through REAT (Receipts, Expenditure Advances and Transfers) module of PFMS.
	e .	VI.	In case of failure from PFMS, the same would be transferred directly through the account of Digital Indi Corporation
4	One Time International Conf. Support @ Rs. 1.5 Lakhs/Full Time PhD	I.	It is not provisioned for all PhD candidates. Only the selected candidates fulfilling eligibility criteria based on the guidelines to be provided in due course will be supported. A separate Implementation order governing these component would be issued by PhD Cell, DIC.
		II.	The institution would submit the request based on the eligibility of the full time PhD candidate in the prescribe format. The format would include the payment terms account details of the institute & the applicant candidate etc
		III.	The institute would be registered by PhD Cell, DIC o PFMS (if not registered) using the details collected throug PhD Scheme portal.
	A Carton of	IV.	The request would then be examined by PhD Cell, DIC wit respect to the guidelines of the scheme & accordingly th applicant & the institute would be allowed to avail th support.
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		1 4	wwalkan 21823 करमलकर / Prof. Shreepad Karmalkar निदेशक / Director

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		V.	The institution & the applicant following the same would
			raise the payment request as per payment terms at PhD
			Scheme portal for payment to the institute &/or the applicant candidate.
		VI.	PhD Cell, DIC would examine the payment request and it it is in order then the amount would be paid to the account
		TTT	of candidate and/or the institute as the case may be.
		VII.	The payment to the Institute would be made through REAT (Receipts, Expenditure, Advances and Transfers) module of PFMS.
		VIII.	In case of failure from PFMS, the same would be transferred directly through the account of Digital India Corporation.
5	Institutional Overhead @ Rs. 25,000/Year/Full Time PhD	I.	Institutional overhead would be transferred based or number of Full Time candidates enrolled at PhD scheme portal and eligible for that year through REAT (Receipts Expenditure, Advances and Transfers) module of PFMS.
		II.	This amount after calculation at PhD Cell would be initiated at PhD Cell in the beginning of each financial year.
6	One time support for 50 Candidates /yr from 3rd year for Visit to Labs	I.	It is not provisioned for all PhD candidates. Only the selected candidates fulfilling eligibility criteria based on the guidelines to be provided in due course will be supported. A separate Implementation order governing these components would be issued by PhD Cell, DIC.
		II.	For more details, 'Term & Condition' may be referred.

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and the states

### 1. Full-time PhD candidates:

### 1.1 Financial Support for Full-time PhD candidates:

- **1.1.1** Fellowship: Rs. 38,750 per month in 1<sup>st</sup> & 2<sup>nd</sup> year and @Rs. 43,750 per month in 3<sup>rd</sup>, 4<sup>th</sup> and 5<sup>th</sup> years of PhD. (support till PhD completion or 5 years whichever is earlier).
- **1.1.2 Reimbursement of Rent (RoR):** This component is linked with the fellowship of PhD Candidate. The rate of RoR is 24%, 16% & 8% (of fellowship) for X, Y & Z class cities/towns respectively. The classification of the cities is as per the notification issued by the Ministry of Finance for the reimbursement of HRA.
- **1.1.3** Institutional Overheads: An amount of Rs. 25,000/Year/Full-time PhD candidate for support duration of PhD candidate to be given to the institution. The institutional overheads will be released after completion of required tenure by the respective candidate on pro-rata basis.
- **1.1.4 Research Contingency Grant Support:** An amount of Rs. 1,20,000/Year/Full-time PhD candidate for support duration of PhD candidate as per following guidelines:
  - a) Out of Rs. 1.20 Lakh/ year, upto Rs. 30,000/- may be availed by the institute for respective PhD Candidate's miscellaneous expenses relevant to research work- e.g. Books / Documents, Equipment / Software, Consumables / Chemical / Electronic components, Prints of research papers, reports etc., Registration fee for attending Symposia/ Seminars / Conferences in India / abroad where the candidate is presenting an accepted paper, Similar items etc.
  - b) Proposal for Rs. 90,000/- or more upto Rs. 1.20 Lakh (the remaining amount after miscellaneous exp.) for lab equipment (excluding civil construction/expansion of the building) should be submitted to PhD Cell, DIC. It should be signed by supervisor, nodal & head of the institute.
  - c) The amount of Rs. 1.20 Lakh under Research Contingency Grant support would be available to the institute only after completion of tenure of one year for a particular candidate and like-wise on pro-rata basis.
  - d) The proposal should clearly specify the utility & role of each of the proposed equipment in research of respective PhD candidate(s). The proposal would cover the item wise cost along with total budget and minimum amount required to place purchase order and amount required at the time of delivery of equipment to the institute.
  - e) The eligibility/unspent balance of a particular year for each Full-time PhD candidate may be carried forward to the next year, till the candidate is supported under the scheme (5 years/PhD completion etc.).
- **1.1.5** Support for attending International Conference: The support would be available from 3<sup>rd</sup> year of PhD with following guidelines:
  - a) The support would cover the travel and other expenses of PhD candidates for attending International conferences, where his/her research paper has been accepted for the presentation by him/her

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- b) Paper presentation should be oral (not poster) in an International conference falling in approved list under Visvesvaraya PhD Scheme (subject to revision/review by Academic Committee periodically). The approved list would be uploaded on PhD Scheme portal in due course.
- c) A paper of expository nature (e.g. a review paper) is not be considered
- d) Grant will not be used to attend winter or summer schools.
- e) The application with invitation letter of the conference to be submitted to the PhD-Cell through the institution.
- f) The grant to be provided to the institution (not to the applicant) for checks & balances and submission of UC.
- **1.1.6 Visit to Labs abroad:** The support would be available from 3<sup>rd</sup> year of PhD to the selected Full Time PhD candidates with following guidelines:
  - a) DIC may enter into agreement with suggested labs.
  - b) The concerned institution(s) will have to facilitate the execution of this agreement between DIC & the lab(s) and will also follow the administrative guidelines to be issued by DIC.
  - c) The PhD candidate should possess a valid offer letter from such labs abroad (having agreement with the scheme implementing agency).
  - d) The candidate may avail this support after 3 year (36 months). The candidate should complete the joint work/training at least 3 months before the completion of PhD/support period of 5 years.
  - e) The application with invitation letter to be submitted to the PhD-Cell through the institution.
  - f) The grant will be provided to the institution (not to the applicant) for checks & balances and submission of UC

## 1.2 Terms and Conditions for support of Full Time PhD Candidates

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- **1.2.1** The support will be for additional PhD candidates taken up by an institution. No support would be provided for current levels of enrolment in the PhD programme at the institutions. Transfer from other scholarship schemes would not be permitted.
- **1.2.2** Only the candidates enrolled/registered for PhD during the period of the scheme would be eligible to apply for the financial support. This scheme will be applicable for new PhD Scholars after notification of the scheme.
- **1.2.3** The candidate would be eligible for Fellowship for the period during which the candidate is in good standing, and fulfills the requirements of the PhD successfully. The maximum period for which the support would be available for any Full-time candidate would be five years or till the completion of PhD whichever is earlier.
- **1.2.4** The participating Institution will ensure that a candidate receiving fellowship under this scheme is not receiving any financial support for PhD from any other scheme of Government of India/States etc. at the same time, The candidates who have availed

support for their PhD from any other scheme of Government of India/States etc. will be automatically be disqualified for support under this scheme.

- **1.2.5** The PhD seats allotted for a particular year should be utilized in the same academic year only. The unfilled seats would be automatically pulled back to the general pool of the scheme.
- **1.2.6** The institutions, in the event of any drop-out, need to report it to PhD Cell/DIC immediately. The institutions can not enroll a substitute candidate on their own. Only the cases which are found extraordinary would be considered for a substitute candidate's enrollment. For this consideration, the institution would require to submit adequate justification beyond the administrative checks and formalities. Any substitute enrolled by the institution without prior permission and due approval of PhD Cell, DIC would not be considered for support under the scheme.
- **1.2.7** Once the institution has recommended the candidate for award of fellowship and the same has been approved under the scheme by the competent authority the fellowship amount and eligible "Reimbursement of Rent" amount will be transferred directly to the bank accounts of the PhD candidate through DBT. The institution will submit annual performance report for each candidate enrolled under the scheme. In case of non-performance of any candidate, the institution shall intimate PhD Cell, DIC regarding the same. In case no such intimation is received, PhD Cell, DIC will continue to transfer the fellowship directly to the bank accounts of PhD candidates.
- **1.2.8** The items of expenditure eligible to be released to the institution like Institutional Overheads, Research Contingency Grant shall be released directly to the institution.
- **1.2.9** The amount to be released under "Support for attending International Conference" and "Visit to labs abroad" will be released as per the requirement on a case to case basis.
- **1.2.10** The institution will be responsible for furnishing utilization certificates of the funds released to them based on the scheme parameters, and as per conditions of the sanctioned grant-in-aid.

#### 2. Miscellaneous

- 2.1 Collaborations with internationally reputed academics and R&D Institutions will be encouraged.
- 2.2 IPR generated under the Scheme will be governed by existing rules and regulations of MeitY.
- **2.3** All institutions who will participate would be subjected to Audit of their accounts/records in respect of the scheme as per government norms.
- 2.4 Assets created under the scheme using the research grant to the participating Institutions would be used by Institutions for the research and development activities in these institutions after

the end of the scheme.

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- 2.5 MeitY may make additions/deletions/modifications in these guidelines at its own discretion.
- **2.6** Awarding support, it's continuation, discontinuation etc. for component(s) of the scheme would be as per the sole discretion of MeitY and would be final and binding to all the applicants seeking support/beneficiaries.

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